

Reducing neonatal deaths in South Africa – are we there yet, and what can be done?

In the year 2000, 189 member countries of the United Nations committed themselves to eight goals towards the development and well-being of their nations. These goals are called Millennium Development Goals (MDGs). The fourth goal (MDG4) aims to reduce the mortality rate in children under the age of 5 years (U5MR) by two thirds between 1990 and 2015. Infants less than 1 month old account for about 40% of deaths of children under the age of 5 years globally.¹ Achieving MDG4 will therefore need to include reducing deaths during the neonatal period. The goal of reducing U5MR by two thirds for neonatal deaths in South Africa meant reducing the neonatal mortality rate (NMR) from 21/1 000 live births in 1998 to 7/1 000 by 2015. In order to achieve this, all neonatal deaths need to be scrutinised by focusing on mortality rates and pathological and health system causes of neonatal deaths. Of paramount importance, however, would be looking at interventions that could impact significantly on reducing these deaths. In this article we discuss the mortality rates in South Africa, the rest of the world and Africa, and discuss causes and interventions that can be implemented to reduce these deaths in South Africa.

Neonatal mortality rates in South Africa: sources of information

In South Africa only the Department of Home Affairs (DHA) reports on all neonatal deaths (first 28 days of life) in the country, including those from both the public and private health sectors. The vital registration data collected by the DHA are analysed and published by Statistics South Africa (StatsSA), but with a 2-year delay. The data to be published in 2012 will therefore include deaths up to 2010. Even though vital registration for South Africa is often incomplete for the year because of under-reporting and delayed or late registration, the NMR from StatsSA is probably the best approximation available.

The other sources of information on neonatal deaths are mainly from the public sector and tend to focus on the early neonatal period (first 7 days of life) and not the whole neonatal period (first 28 days of life). These sources are the District Health Information System (DHIS) and the Perinatal Problem Identification Programme (PPIP). Another possible source of information on neonatal deaths are the Demographic Health Surveys. These have only been conducted in 1998 and in 2003, and there have been concerns about their quality; there is therefore some reluctance to use their findings.

Trends in neonatal mortality rates

According to StatsSA, the NMR for the country is 14/1 000 live births. This is lower than the NMR for 2009 reported by Oestergaard *et al.*² of 18.8/1 000 live births, with a 95% uncertainty range of 16.1 - 23.8/1 000 live births, and that reported by World Health Organization for 2009, which was 19/1 000 live births.³ This difference is probably because the NMRs from the abovementioned reports are calculated using the U5MR. Although vital registration for South Africa is often incomplete for the year, the NMR from StatsSA is probably closer to the true NMR than the ones listed above.

A number of sources have reported on trends in NMR in South Africa. The data from StatsSA have shown that there has been no change in NMR from 2001 to 2008 (Fig. 1).⁴ This is in agreement with the 6th *Saving Babies* report on perinatal care in South Africa,⁵ which is based on data from the PPIP and has also shown that the early neonatal mortality rate (ENMR) has remained the same from 2000 to 2009 for all weight categories (Fig. 2). Oestergaard *et al.* also reported that there has been no improvement in NMR in South Africa over the last 20 years (1990 - 2009).²

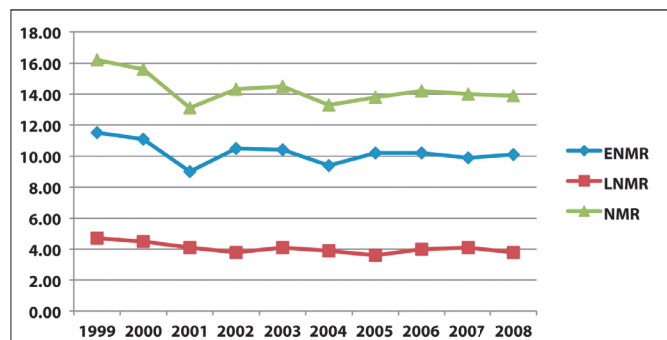


Fig. 1. Trends in early neonatal mortality rates (ENMR), late neonatal mortality rates (LNMR) and neonatal mortality rates (NMR) per 1 000 live births in South Africa from 1999 to 2008 according to StatsSA.⁴

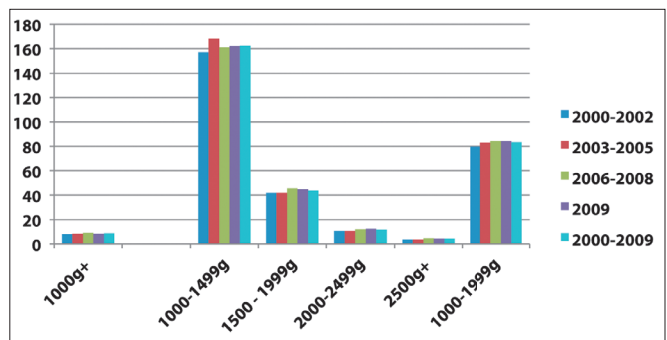


Fig. 2. Trends in early neonatal mortality rates according to different weight categories.⁵

It is estimated that globally the NMR decreased from 33.2 to 23.9 per 1 000 live births between 1990 and 2009, a reduction of 28%, or 1.7% per year.² Low-income countries showed the lowest reduction of 17%, compared with 40% in high-income countries. Of the 10 countries with a reduction of more than 68%, the majority were high-income countries. In Africa, the countries that had the greatest reduction in NMR were from northern Africa, while those with the lowest reduction were in sub-Saharan Africa. Of only 8 countries with an increase in the NMR from 1990 to 2009, 5 were from Africa and comprised South Africa, Congo, Zimbabwe, Chad and Cameroon, while other countries in sub-Saharan Africa have seen reductions in NMR ranging from 1% to 30% over the 20-year period (1990 - 2009). In South Africa, therefore, we are not doing well in reducing neonatal deaths.

Neonatal deaths according to type of hospital in South Africa

Numbers of neonatal deaths vary according to type of hospital. The 6th perinatal care survey reported that 46% and 39% of early neonatal deaths occurred in district and regional hospitals, respectively. This is partly due to the fact that most of the births occur in these hospitals (Table 1).⁶ Rates of early neonatal deaths vary according to birth-weight categories and group of hospitals. Most deaths occur in low-birth-weight infants. Generally, babies born weighing more than 1 000 g have a greater chance of survival than those who weigh less than 1 000 g in all hospitals. However, even for babies bigger than 1 000 g, who are expected to have a good chance of survival, district hospitals have a higher ENMR than other hospitals (Table 2).⁶

Health system factors contributing to neonatal deaths

Neonatal conditions are responsible for about 30% of the U5MR in Africa. Prematurity and asphyxia are in the top 5 causes of U5MR, following on HIV/AIDS, acute respiratory infections and diarrhoeal diseases.³ The mortality reviews have identified that a number of deaths related to prematurity and asphyxia could be prevented. The top 5 modifiable healthcare worker-associated and administrator-associated factors contributing to neonatal deaths in South Africa are listed in Table 3. These factors highlight the problems in our health system. The numbers of avoidable factors related to the health system were much greater in district hospitals compared with other hospitals.

Table 3 shows that the quality of care is substandard in many hospitals. This is also supported by the high perinatal care index in many hospitals shown in Fig. 3. The perinatal care index (PCI) is calculated as perinatal mortality rate divided by low birth weight rate. It has been validated as a true measure of the quality of care: the higher the index, the poorer the care.⁸ It should be below 1 for community health centres (CHCs) and below 2 for all hospitals. It is concerning that the PCI for district hospitals in South Africa is above 2.

Table 3. Factors identified as probably avoidable in neonatal deaths in South Africa⁷

Administrator related	
Prematurity	Intrapartum hypoxia
1. Inadequate facilities/ equipment	1. Inadequate facilities/ equipment
2. No NICU bed with ventilator	2. Insufficient nurses
3. Lack of transport from home	3. No accessible NICU bed with ventilator
4. Personnel not sufficiently trained	4. Anaesthetic delay
5. No syphilis screening	5. Lack of transport
Health worker related	
Prematurity	Intrapartum hypoxia
1. Management inadequate	1. Fetal distress monitored but not detected
2. Delays in referring patient	2. Prolonged second stage with no intervention
3. No antenatal steroids	3. Fetal distress not detected and not monitored
4. Inadequate monitoring	4. Delays in referring patient
5. Resuscitation inadequate	5. Poor progress, partogram not used properly

NICU = neonatal intensive care unit.

Interventions

Improving intrapartum and immediate postnatal care for all infants

It is evident that reducing neonatal deaths in South Africa will require increased effort to be put towards assisting district and regional hospitals, especially in managing low-birth-weight babies.

Table 1. Numbers of births and deaths according to group of hospitals and weight categories among the sites using the PPIP

	Community health centres	District hospitals	Regional hospitals	Provincial tertiary hospitals	National centres	South Africa
Number of sites using PPIP/ total sites in SA (%)	51/327 (15.6%)	137/257 (53%)	46/65 (71%)	5/6 (83%)	5/9 (56%)	244/664 (38%)
500 - 999 g	419	2 679	3 184	645	1 379	8 305
1 000 - 1 499 g	485	4 239	5 181	1 229	1 645	12 779
1 500 - 1 999 g	1 282	8 137	8 792	1 714	2 148	22 073
2 000 - 2 499 g	4 932	24 099	22 326	3 683	3 492	58 532
≥2 500 g	66 428	249 396	196 516	24 729	21 051	558 120
Total births	73 546 (11%)	288 549 (42%)	265 999 (38%)	32 000 (5%)	29 715 (4%)	689 809

Table 2. Early neonatal mortality rates (/1 000 live births) according to birth-weight category and group of hospitals

	Community health centers	District hospitals	Regional hospitals	Provincial tertiary hospital	National centres
All weight categories	3	12.5	12.9	15.4	17.8
>1 000 g	1.9	9.9	8.9	10.0	9.0
500 - 999 g	443	451	503	490	364
1 000 - 1 499 g	109	243	147	113	61
1 500 - 1 999 g	20	65	38	34	25
2 000 - 2 499 g	4.1	14.1	10.2	9.7	10.8
2 500 g	0.9	4.9	4.8	4.5	3.8

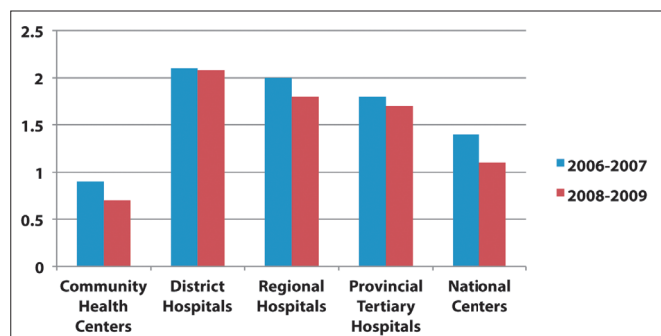


Fig. 3. Perinatal care index for the different hospitals, comparing the periods 2006 - 2007 and 2008 - 2009.

Interventions to reduce neonatal deaths in South Africa should include improvements in obstetric care and immediate care of the newborn after birth. It has been reported that basic emergency obstetric care (BEmOC) (providing antibiotics, oxytocics, anticonvulsants, removal of placenta and retained products, assisted vaginal delivery and newborn care in healthcare centres) and comprehensive emergency obstetric care (CEmOC) (providing those listed under BEmOC, blood transfusion, caesarean sections and care to sick and low-birth-weight newborns in district hospitals) and skilled birth care can reduce intrapartum deaths by 25 - 85%.⁹ Providing skilled birth attendants and increasing capacity to provide CEmOC by providing equipment and training in CEmOC in Burkina Faso improved the perinatal mortality rate from 33 to 27.5/1 000 live births (odds ratio (OR) 0.75, 95% confidence interval (CI) 0.70 - 0.8).¹⁰ Access to CEmOC can be improved by bringing facilities closer to communities, but where this is not possible maternity waiting homes should be provided for those women who stay far from facilities that conduct births and do not have transport. Most births in South Africa occur in district hospitals, many of which are located in rural areas where transport to the hospital is not easily available, so waiting maternity homes would be well suited for these areas and would play a major role in reducing intrapartum hypoxia-related deaths.

At birth, about 5 - 10% of newborns need some assistance with breathing and only 1% require extensive resuscitation.¹¹ The assistance or resuscitation required at birth can be divided into three parts: (i) immediate basic care - drying, providing warmth, assessing whether the baby is crying, and tactile stimulation (flicking or tapping the sole of the foot); (ii) basic neonatal resuscitation - in addition to the above, maintaining the airway through positioning and suctioning if there are secretions and providing bag mask ventilation; and (iii) advanced neonatal resuscitation, which includes supplemental oxygen, chest compressions, endotracheal intubation and administering medication in addition to basic resuscitation. It is estimated that immediate basic care can reduce intrapartum deaths by 10%. Training in neonatal resuscitation has been reported to reduce deaths in babies with intrapartum asphyxia by 30% (relative risk (RR) 0.70, 95% CI 0.59 - 0.84), and early neonatal deaths by 38% (RR 0.62, 95% CI 0.41 - 0.94).¹¹ The need for assistance or resuscitation at birth is not always predictable, so all nurses and doctors involved in obstetric and neonatal care should be trained in at least immediate care of the newborn and basic neonatal resuscitation.

Training on its own will not be adequate without provision of the equipment required for resuscitation, so all labour wards, delivery rooms and neonatal/paediatric wards should be provided with appropriate equipment to resuscitate newborns with intrapartum asphyxia. Provision of resuscitation equipment must be accompanied by plans to replace equipment or parts of the equipment that are found not to be in working condition or are lost. A survey conducted by the National Perinatal Morbidity and Mortality Committee revealed that of the 94 district hospitals and 24 regional hospitals surveyed, 63 (67%) and 6 (24%), respectively, did not have a resuscitation bag,

which is an important equipment required for resuscitation. There is therefore an urgent need to get equipment to all healthcare facilities in South Africa.

Interventions to reduce neonatal deaths due to prematurity and asphyxia

In order to reduce neonatal deaths, more emphasis must be placed on preventing preterm birth and intrapartum asphyxia, and managing them when they do occur. These two conditions account for more than 50% of neonatal deaths.

Interventions to prevent deaths due to prematurity

The common causes of deaths in preterm infants are respiratory distress syndrome (RDS), sepsis and intraventricular haemorrhage (IVH). Efforts to reduce deaths due to prematurity should therefore focus on these conditions.

A Cochrane review has reported that antenatal steroids given to mothers who are in preterm labour at a gestation of <34 weeks significantly reduces the incidence of RDS by 34% (RR 0.66, 95% CI 0.59 - 0.73), and that of neonatal deaths by 31% (RR 0.69, 95% CI 0.58 - 0.81).¹² Studies on antenatal steroids and RDS conducted in middle-income countries reported an even greater reduction in neonatal deaths at 53% (RR 0.47, 95% CI 0.35 - 0.64).¹³ The other benefit of using antenatal steroids is that they also reduce the incidence of IVH by 46% (RR 0.54, 95% CI 0.43 - 0.69), and that of necrotising enterocolitis by 54% (RR 0.46, 95% CI 0.29 - 0.74).¹² Antibiotic treatment of women with preterm rupture of membranes reduces neonatal infections by 32% (RR 0.68, 95% CI 0.58 - 0.87).¹⁴ High coverage of antenatal steroid use in pregnant mothers with anticipated preterm birth and use of antibiotics in mothers with preterm rupture of membranes could therefore have a significant impact in reducing prematurity-related neonatal deaths in South Africa.

Reducing prematurity-related deaths through providing appropriate postnatal care

Preterm infants are at high risk of developing hypothermia because they do not have enough energy stores and brown fat to produce heat. Hypothermia is an independent risk factor for mortality - the more severe the hypothermia, the greater the mortality.¹⁵ Methods used to reduce hypothermia start in the delivery room and continue in the nursery. The delivery room should be warm, and babies should be dried immediately (except for preterm infants less than 28 weeks, as it has been shown that not drying these babies and covering them with plastic wraps reduces the incidence of hypothermia on admission). For babies who are not critically ill, skin-to-skin care has been shown to reduce the incidence of hypothermia. For those who are critically ill, both incubators and radiant warmers have been shown to be effective in preventing hypothermia, though radiant warmers have been associated with increased fluid losses.

Emphasis must be placed on providing adequate medical and nursing staff, with appropriate training and equipment, before considering wide use of labour-intensive and expensive specialist options.



Babies with RDS are likely to develop hypoxia and will therefore need supplemental oxygen. Too little oxygen is associated with high mortality, while too much is associated with morbidities such as retinopathy of prematurity and chronic lung disease. Providing these patients with supplemental oxygen that is adequate to reduce mortality and prevent morbidity is therefore crucial. The aim should be to maintain pulse oximeter saturations between 88% and 93%. All patients requiring supplemental oxygen should be monitored with a pulse oximeter.

In addition to oxygen, some respiratory support is often needed. Previously most babies with respiratory distress were managed with intubation and ventilation. Clinical studies have reported that these patients do well without intubation and ventilation if they are provided with continuous positive airway pressure (CPAP) soon after birth.¹⁶⁻¹⁸ CPAP has been shown to reduce the need for intubation and mechanical ventilation by 38% (RR 0.72, 95% CI 0.56 - 0.91), and reduces death or respiratory failure by 35% (RR 0.65, 95% CI 0.52 - 0.81).¹⁹ Pieper *et al.* reported that use of CPAP in preterm infants reduced mortality by 50% compared with oxygen alone.²⁰ It is important to note that CPAP has a greater impact if used early rather than later. Early use of CPAP reduces the need for intubation for ventilation by 45% (RR 0.55, 95% CI 0.32 - 0.96) when compared with late use of CPAP.²¹ In a study reported from one of the public hospitals in South Africa by Kirsten *et al.*, early use of CPAP in extremely low-birth-weight infants was associated with survival rates that were similar to those seen in developed countries.²² The advantages of using CPAP over invasive mechanical ventilation are that it is simple to use, does not need specialised intubation skill and is non-invasive, which makes it an appropriate mode of ventilation that can be used outside the neonatal intensive care unit environment.

In patients who do not respond to CPAP, the intervention that has also been shown to reduce mortality is surfactant replacement therapy. Administration of natural surfactant is associated with a 32% reduction in mortality (RR 0.68, 95% CI 0.57 - 0.82).²³ Timing of administration of rescue surfactant therapy is important, as it has been shown that early administration of surfactant (within 2 hours of birth) is associated with better outcomes than delayed use, with a 13% reduction in mortality (RR 0.87, 95% CI 0.77 - 0.99).²⁴

In summary, in order to reduce deaths due to prematurity, clinicians must play a major role through implementing evidence-based interventions. The clinicians include doctors working in obstetrics, midwives with basic and/or advanced training, paediatrics/neonatal nurses, and doctors working in nurseries/neonatal wards. Avoidable factors in the health system have to be eliminated, and administrators need to ensure that equipment, consumables and space are provided to enable the clinicians to provide the appropriate care.

Preventing deaths due to intrapartum asphyxia

Avoidable factors related to asphyxia-related deaths included inadequate or inappropriate management during labour and inadequate resuscitation of the neonate, highlighting the need for adequate training in obstetric and immediate neonatal care discussed above. A number of patients who have peripartum asphyxia will develop brain injury, presenting with hypoxic ischaemic encephalopathy despite adequate resuscitation. Asphyxia complicated by moderate to severe encephalopathy has devastating outcomes such as death, cerebral palsy or abnormal neurodevelopmental outcome.

After resuscitation, a window of opportunity exists to reduce further brain injury. One of the interventions that have been shown to be effective in reducing brain injury after asphyxia is therapeutic hypothermia. Animal studies have shown that inducing hypothermia to levels of 32 - 34°C, starting within 6 hours after the insult and continuing for 72 hours, is associated with a lower incidence of brain injury.²⁵ A meta-analysis of randomised clinical trials reported that

therapeutic hypothermia reduced the mortality rate by 22% (RR 0.78, 95% CI 0.66 - 0.93), cerebral palsy in survivors by 31% (RR 0.69, 95% CI 0.54 - 0.89), and combined outcome of death and/or severe disability by 19% (RR 0.81, 95% CI 0.71 - 0.93).²⁶

The limitation of using therapeutic hypothermia in developing countries or low-resource settings is the cost associated with acquiring the equipment used to induce hypothermia and the type of care these babies can require during use of therapeutic hypothermia. Secondly, in developing countries asphyxia may occur against a background of high incidences of fetal/neonatal infection and growth retardation, which potentially also affect neurodevelopmental outcome and the response to induced hypothermia.²⁷ A number of studies have reported on the use of less expensive methods to induce hypothermia in developing countries, namely a fan,²⁸ a solid ice cap^{29,30} and ice gel packs.³⁰⁻³³ The effect of these less expensive methods on mortality and long-term neurodevelopmental outcome needs to be studied further.

Based on the available evidence, the use of therapeutic hypothermia in South African hospitals should be encouraged, but it should only be used in babies who meet the criteria according to the published clinical trials and should be implemented only in hospitals with the necessary infrastructure and equipment, and where clinicians are available and trained to look after critically ill neonates. All patients treated with induced hypothermia must be followed up to assess its impact on mortality and long-term neurological outcome in low-resource settings.

In our efforts to reduce neonatal deaths due to intrapartum asphyxia, it should be noted that basic and comprehensive emergency obstetric care and neonatal resuscitation rather than therapeutic hypothermia should be our primary focus point. The emphasis must be placed on providing adequate nursing and medical staff in our labour and delivery wards and neonatal wards, with appropriate training and equipment, before considering wide use of labour-intensive and expensive specialist options.

Conclusion

South Africa is one of the countries in which neonatal mortality has remained the same or increased over the last 20 years. The major causes of neonatal deaths are related to prematurity and intrapartum hypoxia. A number of interventions have been shown to reduce neonatal deaths, and if implemented on a wider scale, they could reduce neonatal deaths significantly. They include providing basic and comprehensive emergency obstetric care, use of antenatal steroids for women in preterm labour, training in immediate care of the newborn and neonatal resuscitation, and post-resuscitation management and ongoing neonatal care (e.g. CPAP), especially to babies who are born preterm.

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